SEC Form 4

FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287									
Estimated average burden										
hours per response:	0.5									

obligations may co Instruction 1(b).		File	d pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940			hours per resp	onse:	0.5
1	ame and Address of Reporting Person* <u>mond Vava</u> st) (First) (Middle) 00 CLEARWATER DRIVE eet)	n*	2. Issuer Name and Ticker or Trading Symbol <u>Hub Group, Inc.</u> [HUBG]	(Check all		,	on(s) to Issue 10% Owner Other (spec	ier
		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 12/20/2021	A b	elow)	ef Informatic	below)	,
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individu Line)	al or Join	t/Group Filing ((Check Applic	cable
Dimond Vava (Last) (First) (Middle) 2000 CLEARWATER DRIVE (Street)	60523		X F	orm filed	by One Report	ting Person		
	(Zip)			orm filed Person	orm filed by More than One Repor erson			

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		Disposed Of (D) (Instr. 3, 4 and		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)	
Class A Common Stock	12/20/2021		A		8,000 ⁽¹⁾	A	\$ <mark>0</mark>	75,128	D		
Class A Common Stock	12/20/2021		F		7,088	D	\$78.3	68,040	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)				6. Date Exerc Expiration Da (Month/Day/\	Amount of Securities		(Instr. 5) Beneficial Owned Following Reported	derivative Securities Beneficially Owned Following Reported Transaction(s)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
		Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares							

Explanation of Responses:

1. Represents the settlement of performance-based restricted stock in shares of common stock for the three-year performance period beginning January 1, 2019, which are exempt from liability under Section 16(b) of the Securities Exchange Act pursuant to Rule 16b-3(d). The Compensation Committee of the Board of Directors of the issuer has certified performance for the full performance period and approved vesting of the award on December 20, 2021. On the vesting date, a total of 16,000 performance based restricted stock settled into shares of common stock, 8,000 of which were previously reported on the reporting person's Form 4 dated January 4, 2019.

<u>/s/ Vava Dimond</u>

** Signature of Reporting Person Date

12/21/2021

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.